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# KÄHLER REDUCTION OF METRICS WITH HOLONOMY $G_2$

VESTISLAV APOSTOLOV AND SIMON SALAMON

## INTRODUCTION

There are now many explicitly known examples of metrics with holonomy group equal to  $G_2$ , the simplest of which admit an isometry group with orbits of codimension one. A metric with holonomy  $G_2$  on a smooth 7-manifold  $Y$  is characterized by a 3-form and a 4-form that are interrelated and both closed. If this structure is preserved by a circle group  $S^1$  acting on  $Y$  (which cannot then be compact), the quotient  $Y/S^1$  has a natural symplectic structure. A geometrical description of such quotients was carried out by Atiyah and Witten [8] when  $Y$  is one of three original manifolds with a complete metric of holonomy  $G_2$  described in [14]. The symplectic structure is also defined on the image of the fixed points, which in each of these cases is a Lagrangian submanifold  $L$ . The embedding of  $L$  in a neighborhood of  $Y/S^1$  is believed to approximate the geometry of a special Lagrangian submanifold of a Calabi-Yau manifold, and this is consistent with models of special Lagrangian submanifolds of  $\mathbb{R}^6$  described for example in [24].

This work motivates a general investigation of the quotient  $N = Y/S^1$  of a manifold with holonomy  $G_2$  by an  $S^1$  action. Initial results in this direction can be found in [15, 16], and in this paper we pursue the theory under the simplifying assumption that the  $S^1$  action is free. It is an elementary fact that  $N$  has, in addition to its symplectic 2-form, a natural reduction to  $SU(3)$ . Whilst this structure cannot be torsion-free (i.e. Calabi-Yau) if  $Y$  is irreducible, there are non-trivial examples in which the associated almost-complex structure is integrable, so that  $N$  is Kähler. This paper is devoted to an investigation of such a situation, which turns out to be surprisingly rich. Our study began with the realization that in this case, a parameter measuring the size of the fibers of  $Y$  generates both a Killing and a Ricci potential for  $N$  (Proposition 1 and Corollary 2), exhibiting a link with the theory of the so-called Hamiltonian 2-forms [4].

We first describe the induced  $SU(3)$  structure on  $N$  in §1, and then pursue consequences of the integrability condition. In particular, we prove that when  $N$  is Kähler, an infinitesimal isometry  $U$  of the  $SU(3)$  structure is inherently defined. The situation is reminiscent of the study of Einstein-Hermitian 4-manifolds in which Killing vector fields appear automatically [6, 17, 27]. We explain in §2 that  $U$  can be used to obtain a Kähler quotient of  $N$ , consisting of a 4-manifold equipped with a 1-parameter family of smooth functions and 2-forms, satisfying a coupled second-order evolution equation (Theorem 1). The procedure can be reversed so as to construct metrics with holonomy (generically equal to)  $G_2$  from a 4-manifold  $M$  with the appropriate structure.

A fundamental construction of a holonomy  $G_2$  metric starting from a  $\mathbb{T}^2$  bundle over a hyperkähler 4-manifold was discovered by Gibbons, Lü, Pope and Stelle [20],

and towards the end of §3 we exhibit our reverse procedure as a generalization of the above. It can be further improved by introducing an anti-self-dual 2-form with the opposite orientation to the Kähler one (Theorem 2). This leads to the construction of new examples of  $G_2$  metrics based on the examples of Ricci-flat almost-Kähler metrics [5, 7, 28]. We point out that there also exist constructions of holonomy  $G_2$  metrics from higher dimensional hyperkähler manifolds (see for example [3]).

When  $M$  is  $\mathbb{T}^4$ , the basic examples are modelled on nilpotent Lie groups and fall into three types, special cases of which were also mentioned in [15]. We explain how to show that these are irreducible and therefore have holonomy equal to  $G_2$ . In §4, we analyse the holonomy of these metrics by restricting them to hypersurfaces so as to induce an  $SU(3)$  structure that evolves according to equations studied by Hitchin [21]. Our examples provide perhaps the simplest instance of this phenomenon other than the case of nearly-Kähler manifolds. The different  $SU(3)$  structures obtained in this way, an integrable one on a quotient and non-integrable ones on hypersurfaces, are naturally linked via the common 7-manifold.

In the final section, we study a more general class of solutions of our system, formulated in terms of the complex Monge-Ampère equation. This leads to both an abstract existence theorem, some special solutions, and an explicit final example.

Our assumption that the symplectic manifold  $Y/S^1$  be Kähler leads to a (local) action on  $Y$  not just by  $S^1$  but by the torus  $\mathbb{T}^2$  (Corollary 3), though examples in §4 exhibit  $\mathbb{T}^2$  actions for which the Kähler assumption fails (see also [11, 30]). We hope nonetheless that similar methods will lead to the classification of metrics with holonomy  $G_2$  admitting a  $\mathbb{T}^2$  action.

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## 1. THE FIRST REDUCTION

In this section we study general properties of a 7-dimensional Riemannian manifold  $(Y, k)$  endowed with a torsion-free  $G_2$  structure and an infinitesimal isometry  $V$ . The  $G_2$  structure on  $Y$  is defined by an admissible 3-form  $\varphi$ , which itself determines the Riemannian metric  $k$ , an orientation and Hodge operator  $*$ . The torsion-free condition is equivalent to the closure of both  $\varphi$  and the 4-form  $*\varphi$ , and amounts to asserting that the holonomy group of  $k$  is contained in  $G_2$ . This theory is elaborated in the standard references [13, 19, 25, 29]

We denote by  $(N, \check{k})$  the Riemannian quotient of  $(Y, k)$ , so that  $N$  is a 6-dimensional manifold formed from the orbits of the Killing vector field  $V$ . The considerations are local and hold in a suitable neighborhood of any point of  $Y$  where the vector field  $V$  is non-zero. The Riemannian metric  $\check{k}$  is induced by the

formula

$$k = \check{k} + t^{-2}\eta \otimes \eta,$$

where  $t = \|V\|_k^{-1} = k(V, V)^{-1/2}$  and  $\eta = k(\cdot, t^2V)$  is the 1-form dual to  $t^2V$  so that  $\eta(V) = 1$ . In the case when  $Y$  can be realized as a principal  $S^1$  bundle over  $N$  (the fibers being the closed orbits of  $V$ ),  $\eta$  is nothing but a connection 1-form.

Since  $V$  is a Killing vector field,  $dt(V) = 0$  and  $t$  descends to a function on  $N$ . The 2-form

$$\sigma = \iota_V \varphi$$

is horizontal in the sense that  $\iota_V \sigma = 0$ , and since  $V$  preserves the  $G_2$  structure we have

$$d\sigma = d(\iota_V \varphi) = \mathcal{L}_V \varphi = 0,$$

$$\mathcal{L}_V \sigma = d(\iota_V \sigma) = 0.$$

Thus,  $\sigma$  is closed and  $V$ -invariant, and therefore the pullback of a closed 2-form on  $N$ , again denoted by  $\sigma$ . (We identify functions and forms on  $N$  with their pullbacks to  $Y$  throughout, and  $\mathcal{L}$  and  $\iota$  stand for the Lie derivative and the interior product.) Since  $\sigma$  is non-degenerate transverse to the fibers of  $Y$ , it defines a symplectic form on  $N$ .

We now choose to express the forms characterizing the  $G_2$  structure on  $Y$  as

$$\begin{aligned} (1) \quad \varphi &= \sigma \wedge \eta + t\Psi^+ \\ * \varphi &= \Psi^- \wedge \eta + \frac{1}{2}t^2\sigma \wedge \sigma, \end{aligned}$$

where

$$\Psi^- = -\iota_V(*\varphi).$$

If  $\eta$  were replaced by the unit form  $t^{-1}\eta$  then both  $\sigma$  and  $\Psi^-$  would need to be multiplied by a compensatory factor of  $t$  if the left-hand sides of (1) are to remain the same. This explains why  $\sigma \wedge \sigma$  appears in (1) with a coefficient of (one half)  $t^2$ , and  $\Psi^+$  appears with a coefficient of  $t$  so as to have the same norm as  $\Psi^-$ . The rescaled Riemannian metric

$$(2) \quad h = t^{-1}\check{k}$$

is compatible in the sense that the skew-symmetric endomorphism  $J$  defined by

$$(3) \quad h(J\cdot, \cdot) = \sigma(\cdot, \cdot)$$

is an almost-complex structure on  $N$ . The triple  $(h, \sigma, J)$  then defines an *almost-Kähler* structure on  $N$ , though the qualification ‘almost’ can be deleted when  $J$  is integrable.

Just as for  $\sigma$ , the 3-form  $\Psi^-$  is closed and basic (meaning  $\iota_V \Psi^- = 0$ ,  $\mathcal{L}_V \Psi^- = 0$ ). Thus, it too is the pullback of a real form on  $N$ . This has type  $(3, 0) + (0, 3)$  with respect to  $J$ , so that  $\Psi^-(J\cdot, J\cdot, \cdot) = -\Psi^-(\cdot, \cdot, \cdot)$ . The theory of  $G_2$  structures then implies that

$$(4) \quad \Psi^+(\cdot, \cdot, \cdot) = \Psi^-(J\cdot, \cdot, \cdot),$$

and the two real 3-forms combine to define a complex form

$$(5) \quad \Psi = \Psi^+ + i\Psi^-$$

of type  $(3, 0)$  with respect to  $J$ .

Unlike  $\Psi^-$ , the 3-form  $\Psi^+$  is not in general closed; indeed,  $d\Psi^+$  can be identified with the Nijenhuis tensor of  $J$ , the obstruction to the integrability of  $J$ :

**Lemma 1.**  *$\Psi^+$  is closed if and only if the almost-complex structure  $J$  is integrable.*

*Proof.* Since  $\Psi^-$  is already closed, the exterior derivative  $d\Psi^+$  of (5) is real. But if  $J$  is integrable so that  $(N, J)$  is a complex manifold, this real 4-form has type  $(3, 1)$  and therefore vanishes. Conversely, if  $d\Psi^+ = d\Psi^- = 0$  then writing (5) locally as a wedge product of  $(1, 0)$ -forms  $\alpha^i$  shows that  $(d\alpha^i)^{0,2} = 0$  for  $i = 1, 2, 3$ , and  $J$  is integrable.  $\square$

The almost-Kähler structure corresponds to a reduction to  $U(3)$  at each point of  $N$ . Specification of the non-zero element (5) in the space  $\Lambda^{3,0}$  is precisely what is needed to reduce the structure to  $SU(3)$ , but one should first rescale given that  $\|\Psi^\pm\|_h$  is not in general constant. The 3-forms

$$\psi^\pm = t^{-1/2}\Psi^\pm$$

have norm equal to 2. They are subject to the compatibility equations

$$(6) \quad \sigma \wedge \psi^\pm = 0,$$

and

$$(7) \quad \psi^+ \wedge \psi^- = \frac{2}{3}\sigma^3 = 4\text{vol}_h,$$

consistent with (3), and give rise to an  $SU(3)$  structure with underlying metric  $h$ . Notation for the 3-forms is now consistent with that of [15]. Since  $\psi^+ + i\psi^- \in \Lambda^{3,0}$ , we have

$$(\gamma + iJ\gamma) \wedge (\psi^+ + i\psi^-) = 0$$

for any 1-form  $\gamma$ , where  $J$  acts on 1-forms by  $J\gamma(\cdot) = -\gamma(J\cdot)$ . Whence

$$(8) \quad \gamma \wedge \psi^+ = J\gamma \wedge \psi^-.$$

This last equation will be useful for calculations.

The real 3-forms  $\psi^+, \psi^-$  have a common stabilizer group  $SL(3, \mathbb{C})$  at each point of  $N$ , and either one determines the almost-complex structure  $J$  [22]. From this point of view, the further reduction to  $SU(3)$  is achieved by a 2-form  $\sigma \in \Lambda^{1,1}$  such that  $\sigma(\cdot, J\cdot) = h(\cdot, \cdot)$  is positive definite. The  $SU(3)$  structure is therefore fully determined by (say)  $\psi^+$  and  $\sigma$ ; in the new notation Lemma 1 reads as

**Corollary 1.** *The almost-complex structure  $J$  is integrable if and only if*

$$\nabla\psi^+ = -\frac{1}{2}(d^c \log t) \otimes \psi^-, \quad \nabla\psi^- = \frac{1}{2}(d^c \log t) \otimes \psi^+,$$

where  $\nabla$  denotes the Levi-Civita connection of  $h$  and  $d^c f = Jdf$ .

*Proof.* Given the above equations,

$$d\Psi^+ = d(t^{1/2}\psi^+) = \frac{1}{2}t^{1/2}(d \log t \wedge \psi^+ - d^c \log t \wedge \psi^-),$$

but the right-hand side is zero by (8). Thus,  $J$  is integrable by Lemma 1.

Conversely, if  $J$  is integrable then  $(h, J)$  is Kähler and  $\nabla_U \psi^\pm$  is a form of type  $(3, 0) + (0, 3)$  for any vector field  $U$ . Since  $\psi^+$  and  $\psi^-$  are mutually orthogonal and of constant norm 2,

$$\nabla \psi^+ = \gamma \otimes \psi^-, \quad \nabla \psi^- = -\gamma \otimes \psi^+$$

for some 1-form  $\gamma$ . Using (8) again, we obtain

$$\begin{aligned} d\Psi^+ &= d(t^{1/2}\psi^+) = \frac{1}{2}t^{1/2}(d \log t \wedge \psi^+ + 2\gamma \wedge \psi^-) \\ &= \frac{1}{2}t^{1/2}(-2J\gamma + d \log t) \wedge \psi^+. \end{aligned}$$

The claim follows by Lemma 1 and the injectivity of the linear map  $\Lambda^1 \rightarrow \Lambda^4$  defined at each point by wedging with  $\psi^+$ .  $\square$

**Corollary 2.** *If  $J$  is integrable, the Ricci form  $\kappa$  of the Kähler manifold  $(N, h, J)$  is given by*

$$\kappa = \frac{1}{2}dd^c \log t.$$

*Proof.* This is again an immediate consequence of the integrability criterion, which implies that the  $(3, 0)$ -form  $\Psi = \Psi^+ + i\Psi^-$  is closed. It follows that  $\Psi$  is a holomorphic section of the canonical bundle  $\Lambda^{3,0}$  and the Ricci form is given by

$$\kappa = i\partial\bar{\partial} \log(\|\Psi\|_h^2) = \frac{1}{2}dd^c \log(\|\Psi\|_h^2),$$

and the result follows from (7).  $\square$

In the case when  $J$  is integrable, it is now possible to formulate a complete system of conditions for the  $SU(3)$  structure on  $N$  to arise from the quotient of a torsion-free  $G_2$  structure.

**Proposition 1.** *Let  $(N, h, J, \sigma)$  be a Kähler manifold of real dimension 6, endowed with a compatible  $SU(3)$  structure defined by a  $(3, 0)$ -form  $\psi^+ + i\psi^-$ . Then this structure is obtained as the quotient of a 7-dimensional manifold  $Y$  with a torsion-free  $G_2$  structure by a nontrivial infinitesimal isometry  $V$  if and only if*

- (i)  $d\psi^+ = -\frac{1}{2}(d^c \log t) \wedge \psi^-$  and  $d\psi^- = \frac{1}{2}(d^c \log t) \wedge \psi^+$  for a smooth positive function  $t$ , and
- (ii) the Hamiltonian vector field  $U$  on  $(N, \sigma)$ , corresponding to  $-t$ , is an infinitesimal isometry of the  $SU(3)$  structure.

*In this case, the corresponding 7-manifold  $Y$  is locally  $\mathbb{R} \times N$  and the metric  $k$ , infinitesimal isometry  $V$  and  $G_2$  invariant forms  $\varphi, *\varphi$  are given by*

$$(9) \quad \begin{aligned} k &= th + t^{-2}\eta \otimes \eta, & V &= \frac{\partial}{\partial y} \\ \varphi &= \sigma \wedge \eta + t^{3/2}\psi^+, & *\varphi &= t^{1/2}\psi^- \wedge \eta + \frac{1}{2}t^2\sigma \wedge \sigma, \end{aligned}$$

where  $y$  is a variable for the  $\mathbb{R}$  factor, and  $\eta = dy + \eta_N$  is a 1-form on  $\mathbb{R} \times N$  for which

$$(10) \quad d\eta_N = -t^{1/2}(i_U \psi^+).$$

*Proof.* Condition (i) is necessary by Corollary 1, and the equalities (9) reflect the earlier definitions of  $h, \sigma, \psi^\pm$ . Moreover,  $\partial/\partial y$  is identified with the Killing field  $V$  (so that  $k(V, V) = t^{-2}$ ) and  $\eta$  is the corresponding connection form. Using (i) and (8) in that order, we obtain

$$\begin{aligned} 0 &= d\varphi = \sigma \wedge d\eta + \frac{3}{2}t^{1/2}dt \wedge \psi^+ - \frac{1}{2}t^{1/2}Jdt \wedge \psi^- \\ &= \sigma \wedge d\eta + t^{1/2}dt \wedge \psi^+. \end{aligned}$$

Consequently,  $(d\eta)^{1,1} = 0$  and (10) follows from the fact that  $\iota_U \sigma = -dt$ .

We now have

$$0 = -d(d\eta) = d(t^{1/2}\iota_U \psi^+) = d(\iota_U \Psi^+) = \mathcal{L}_U \Psi^+ = t^{1/2}\mathcal{L}_U \psi^+.$$

Since  $U$  is Hamiltonian, we also have

$$\mathcal{L}_U \sigma = 0,$$

i.e.  $U$  is an infinitesimal isometry for the pair  $(\sigma, \psi^+)$ , and therefore for the  $SU(3)$  structure (using [22]). Reversing the above arguments, one can check directly that (9) and (10) define a torsion-free  $G_2$  structure on  $Y = \mathbb{R} \times N$ .  $\square$

**Corollary 3.** *Under the hypothesis of Proposition 1, the horizontal lift of  $U$  to  $(Y, k)$  is an infinitesimal isometry of the  $G_2$  structure  $\varphi$ , which commutes with  $V$ .*

*Proof.* This is an immediate consequence from Proposition 1.  $\square$

**Remark 1.** It follows from Proposition 1 that when  $t$  is constant,  $(Y, k)$  is locally the Riemannian product of a Calabi-Yau 6-manifold with  $\mathbb{R}$ . In this case the holonomy group of  $h$  lies in  $SU(3)$ . In general, the failure of the holonomy to reduce to  $SU(3)$  is measured by a torsion tensor

$$\tau \in T_n^* N \otimes \frac{\mathfrak{so}(6)}{\mathfrak{su}(3)}$$

determined by  $d\sigma, d\psi^+, d\psi^-$ . Whereas  $\tau$  has a total of 42 components, exactly two thirds of these will always vanish on  $N$  and  $\tau$  is determined by the remaining 14 tracefree components of  $d\psi^+$ , or 6 in the Kähler case [15].

## 2. A SECOND REDUCTION

Proposition 1 is the key ingredient for performing a further quotient via the infinitesimal isometry  $U$ . To carry this out, we shall assume from now on that  $t$  is not constant and that  $J$  is integrable. Thus,  $U$  is a non-trivial infinitesimal isometry of the  $SU(3)$  structure on  $N$  determined by the pair  $(h, \psi^+)$ .

Denote by  $(M, J_1)$  the ‘stable’ or holomorphic quotient of  $(N, J)$ , defined at least locally as the complex two-dimensional manifold of holomorphic leaves of the foliation generated by  $\Xi = U - iJU$ . Here we exploit the fact that  $\Xi$  is a holomorphic vector field on  $(N, J)$ . Since  $J$  is integrable,  $\Psi = \Psi^+ + i\Psi^-$  is a closed  $(3, 0)$ -form, and we set

$$\Omega = \frac{1}{2}\iota_\Xi \Psi.$$

Since  $\iota_{\Xi}\Omega = 0$ , it follows from (4) that  $\Omega$  is closed and the pullback of a holomorphic symplectic form on  $M$ , again denoted by  $\Omega$ . The real closed 2-forms

$$\omega_2 = \Re \Omega, \quad \omega_3 = \Im \Omega$$

on  $M$  (that pull back to  $\iota_U\Psi^+$ ,  $\iota_U\Psi^-$  respectively on  $N$ ) satisfy

$$(11) \quad \omega_2 \wedge \omega_2 = \omega_3 \wedge \omega_3, \quad \omega_2 \wedge \omega_3 = 0.$$

The complex structure  $J_1$  can now be determined by the formula

$$\omega_2(\cdot, \cdot) = \omega_3(J_1 \cdot, \cdot),$$

and equation (10) in Proposition 1 reads

$$(12) \quad d\eta = -\omega_2.$$

Set  $u = \|U\|_h^{-2}$  and let  $\xi$  be the 1-form  $h$ -dual to the vector field  $uU$ , so that  $\iota_U\xi = 1$  and  $\xi = uJdt$ . The 3-forms  $\Psi^\pm$  are completely determined by (10) which forces them to be the real and imaginary components of  $(\omega_2 + i\omega_3) \wedge (\xi + iJ\xi)$ . Thus

$$(13) \quad \begin{aligned} \psi^+ &= t^{-1/2}(\omega_2 \wedge \xi + u\omega_3 \wedge dt), \\ \psi^- &= t^{-1/2}(\omega_3 \wedge \xi - u\omega_2 \wedge dt). \end{aligned}$$

They are  $U$ -invariant in accordance with (ii) in Proposition 1.

For any regular value of the momentum map  $-t$ , the stable quotient  $(M, J_1)$  of  $(N, J)$  can be identified with the *symplectic* quotient  $(M, \tilde{\omega}_1(t))$  of  $(N, \sigma)$  generated by the vector field  $U$ . In this way, we obtain the *Kähler* quotient  $(M, g(t), \tilde{\omega}_1(t), J_1)$ . In this correspondence,

$$(14) \quad \begin{aligned} \sigma &= \tilde{\omega}_1(t) + dt \wedge \xi, \\ h &= g(t) + u^{-1}\xi \otimes \xi + udt \otimes dt, \end{aligned}$$

so that the equation (7) reduces to

$$(15) \quad t\tilde{\omega}_1(t) \wedge \tilde{\omega}_1(t) = \frac{1}{2}u\Omega \wedge \overline{\Omega} = u\omega_2 \wedge \omega_2 = u\omega_3 \wedge \omega_3.$$

To ease the notation, we shall below omit the explicit dependence of  $\tilde{\omega}_1 = \tilde{\omega}_1(t)$  on  $t$  except on occasions for emphasis.

We now denote by  $P$  the (locally defined) space of orbits of  $U$ , so that  $N$  can be thought as an  $\mathbb{R}$  bundle over  $P$  with connection 1-form  $\xi$ . Locally,  $N = \mathbb{R} \times P$ , and introducing a variable  $x$  for the  $\mathbb{R}$  factor, we may write

$$\xi = dx + \xi_P, \quad U = \frac{\partial}{\partial x}$$

for some 1-form  $\xi_P$  on  $P$ . The space of orbits of the vector field  $JU$  on  $P$  is the stable quotient of  $(N, J)$ , whereas the symplectic quotients of  $(N, \sigma)$  are identified with the level sets of  $t$  in  $P$ . Using a local description  $P = \mathbb{R}^+ \times M$  in which the  $\mathbb{R}^+$ -factor corresponds to  $t$ , we may regard  $u$  as a function on  $M$  for each value of  $t$ . In these terms, we have

$$\begin{aligned} d\xi &= d_P\xi_P = \alpha_M \wedge dt + \beta_M, \\ d_Pu &= u'dt + d_Mu, \\ d\tilde{\omega}_1 &= \tilde{\omega}'_1 \wedge dt, \end{aligned}$$



where  $\alpha_M = \alpha_M(t)$ ,  $\beta_M = \beta_M(t)$  are 1-parameter families of forms on  $M$ ,  $'$  denotes  $\partial/\partial t$  and  $d, d_P, d_M$  denote exterior derivative on  $N, P, M$ .

Differentiating the first relation gives

$$d_M \beta_M = 0, \quad \beta'_M = -d_M \alpha_M.$$

Using the formula (14) for the symplectic form  $\sigma$  yields

$$\tilde{\omega}'_1 = \beta_M,$$

and it follows that  $\beta_M$  has type  $(1, 1)$  relative to  $J_1$ . Using (13) gives

$$\begin{aligned} d\psi^+ &= -\frac{1}{2}t^{-3/2}dt \wedge \omega_2 \wedge \xi + t^{-1/2}\omega_2 \wedge d\xi + t^{-1/2}d_M u \wedge \omega_3 \wedge dt \\ &= -\frac{1}{2}t^{-1}Jdt \wedge \psi^- + t^{-1/2}(\omega_2 \wedge \alpha_M + d_M u \wedge \omega_3) \wedge dt, \end{aligned}$$

since  $\omega_2 \wedge \beta_M = 0$ . In view of Proposition 1(i),  $\omega_2 \wedge \alpha_M + d_M u \wedge \omega_3 = 0$ , whence

$$\alpha_M = J_1 du = d_M^c u,$$

and everything can be expressed in terms of  $\tilde{\omega}_1$  and  $u$ .

In summary,

**Theorem 1.** *Let  $(Y, \varphi)$  be a 7-manifold with a torsion-free  $G_2$  structure, admitting an infinitesimal isometry  $V$ . Suppose that the norm of  $V$  is not constant, and let  $y \in Y$  be a point where  $V$  does not vanish. Let  $\mathcal{V}$  be a neighborhood of  $y$ , such that the space of orbits of  $V$  in  $\mathcal{V}$  is a manifold  $N$  and suppose that the almost-Kähler structure on  $N$  is in fact Kähler. Then, there exists a 4-dimensional manifold  $M$  endowed with a complex structure  $J_1$ , a complex symplectic form  $\Omega = \omega_2 + i\omega_3$ , and 1-parameter families of Kähler 2-forms  $\tilde{\omega}_1 = \tilde{\omega}_1(t)$  and positive functions  $u = u(t)$  on  $M$ , satisfying the relations*

$$(16) \quad \tilde{\omega}_1'' = -d_M d_M^c u$$

$$(17) \quad t\tilde{\omega}_1 \wedge \tilde{\omega}_1 = \frac{1}{2}u\Omega \wedge \overline{\Omega}.$$

On a sufficiently small neighborhood of  $y$ ,  $(\varphi, V)$  is equivariantly isometric to the torsion-free  $G_2$  structure

$$(18) \quad \begin{aligned} \varphi &= \tilde{\omega}_1 \wedge (dy + \eta_N) + dt \wedge (dx + \xi_P) \wedge (dy + \eta_N) \\ &\quad + t(\omega_2 \wedge (dx + \xi_P) + u\omega_3 \wedge dt), \end{aligned}$$

on  $\mathbb{R}_t^+ \times \mathbb{R}_{x,y}^2 \times M$  endowed with the infinitesimal isometry  $V = \partial/\partial y$ , where

$d_M$  denotes the differential on  $M$ , and  $d_M^c = J_1 \circ d_M$ ;

$t > 0$  is the variable on the  $\mathbb{R}_t^+$ -factor;

$(x, y)$  are standard coordinates on  $\mathbb{R}_{x,y}^2 = \mathbb{R}_x \times \mathbb{R}_y$ ;

$\eta_N$  is a 1-form on  $N = \mathbb{R}_t^+ \times \mathbb{R}_x \times M$  with  $d\eta_N = -\omega_2$ ;

$\xi_P$  is a 1-form on  $P = \mathbb{R}_t^+ \times M$  with  $d\xi_P = (d_M^c u) \wedge dt + \tilde{\omega}_1'$ .

**Remark 2.** By redefining the local coordinate  $x$ , one can assume (without loss) that  $\eta_N$  is in fact a 1-form on  $M$ . In this case the  $G_2$  structure  $\varphi$  is invariant under  $\frac{\partial}{\partial x}$  as well, and  $\frac{\partial}{\partial x}$  is identified with the Killing vector field defined in Corollary 3.

It is not difficult to see that for *generic* data on  $M$ , the holonomy group of the  $G_2$  structure (18) is *equal* to  $G_2$ . Indeed, the general theory of holonomy groups

(see e.g. [29]) implies that if the holonomy group of  $(Y, k)$  were strictly less than  $G_2$ , then there would exist a non-trivial parallel vector field  $X$  on  $(Y, k)$  commuting with  $V = \frac{\partial}{\partial y}$ ; it would therefore come from an infinitesimal isometry of the  $SU(3)$  structure on  $N$  (still denoted by  $X$ ), which preserves the level sets of  $t$  and commutes with  $U = \frac{\partial}{\partial x}$ . The equations for  $d\eta_N$  and  $d\xi_P$  imply that there is no parallel vector field in  $\text{span}\{\frac{\partial}{\partial x}, \frac{\partial}{\partial y}\}$  unless  $d_M u = 0$  and  $\tilde{\omega}' = 0$  (a situation which we shall exclude below), thus showing that  $X$  is in general different from  $U$ . Therefore,  $X$  must come from a (real) holomorphic vector field on  $(M, J)$  which preserves the Kähler metrics  $\omega(t)$  for each  $t$ . In particular, if we assume that  $(M, J_1, \Omega, \tilde{\omega}_1(t), u(t))$  does not admit any infinitesimal isometry and either  $d_M u \neq 0$  or  $\tilde{\omega}' \neq 0$ , then we know that the holonomy group of  $(Y, \varphi)$  must equal  $G_2$ .

It is important to note that the triple  $(\tilde{\omega}_1(t), \omega_2, \omega_3)$  appearing in Theorem 1 does not in general constitute a hyperkähler structure. Indeed, by (17), the holomorphic section  $\Omega$  of the canonical bundle  $\Lambda^{2,0}M$  satisfies

$$\|\Omega\|_g^2 = tu^{-1},$$

and the Ricci form  $\kappa$  of the Kähler metric  $\tilde{\omega}_1(t)$  is given by

$$(19) \quad \kappa = \frac{1}{2}d_M d_M^c(\log t - \log u) = -\frac{1}{2}d_M d_M^c \log u.$$

In the next section, we shall however explain how the simplest case does correspond to a hyperkähler situation.

### 3. CONSTANT SOLUTIONS

A careful inspection of the proof of Theorem 1 shows that the process can be inverted so as to construct a torsion-free  $G_2$  structure from a 4-manifold  $M$  with a complex symplectic structure  $(J_1, \Omega)$  together with a 1-parameter family  $(\tilde{\omega}_1(t), u(t))$  of Kähler forms and smooth functions satisfying (16) and (17). In this section, we shall carry out this inverse construction explicitly in the case in which  $u$  really is just a function of  $t$ , so that  $d_M u = 0$ .

The above assumption reduces (16) to

$$\tilde{\omega}_1 = \omega + t\omega'$$

for some closed  $(1,1)$ -forms  $\omega, \omega'$  on  $M$ . Consider the real symmetric bilinear form  $B$  defined by

$$(20) \quad \alpha \wedge \beta = \frac{1}{2}B(\alpha, \beta)\Omega \wedge \overline{\Omega}$$

on the space of 2-forms. Restricting  $B$  to the subspace  $\langle \omega, \omega' \rangle$  and diagonalizing, we may write

$$(21) \quad \tilde{\omega}_1 = (p + qt)\omega_0 + (r + st)\omega_1,$$

where

$$(22) \quad \omega_0 \wedge \omega_0 = -\frac{1}{2}\varepsilon\Omega \wedge \overline{\Omega}, \quad \omega_1 \wedge \omega_1 = \frac{1}{2}\Omega \wedge \overline{\Omega}, \quad \omega_0 \wedge \omega_1 = 0,$$

$\varepsilon$  is 0 or 1, and  $p, q, r, s$  are constants satisfying

$$(23) \quad r + st > |p + qt|$$

to ensure the overall positivity of  $\tilde{\omega}_1$ . From (21) and (17) we have

$$(24) \quad u = t((r + st)^2 - (p + qt)^2).$$

Note that  $\varepsilon = 0$  in (22) if and only if  $\omega_0 = 0$ ; to avoid redundancy we declare that  $p = q = 0$  in this case.

The real symplectic forms  $\omega_1, \omega_2, \omega_3$  satisfy the usual compatibility relations

$$(25) \quad \begin{aligned} \omega_i \wedge \omega_i &= \omega_j \wedge \omega_j, \\ \omega_i \wedge \omega_j &= 0, \quad i \neq j, \end{aligned}$$

extending (11). It is well known ([23, 29]) that they then determine a hyperkähler structure, consisting of

- (i) complex structures  $J_1, J_2, J_3$  satisfying  $J_i \circ J_j + J_j \circ J_i = -2\delta_{ij}\text{Id}$ ;
- (ii) a Riemannian metric  $g_0$  and associated Levi-Civita connection relative to which the  $J_i$  are all orthogonal and parallel.

When  $p^2 + q^2 > 0$ , in addition to the hyperkähler structure, the  $(1, 1)$  form  $\omega_0$  defines an almost-complex structure  $I$  on  $M$ , such that  $\omega_0(\cdot, \cdot) = g_0(I\cdot, \cdot)$  as in (3). It follows that  $(g_0, I, \omega_0)$  is a Ricci-flat *almost-Kähler* metric on  $M$ , compatible with the opposite orientation to the one induced on  $M$  by the hyperkähler structure  $(\omega_1, \omega_2, \omega_3)$ . The integrability of the almost-complex structure  $I$  is equivalent to the flatness of the metric  $g_0$ , and this is the only possibility when  $M$  is compact, see [31]. However, completely explicit local examples of 4-dimensional hyperkähler manifolds admitting a *non-integrable* almost-Kähler structure  $I$  are now known [5, 7, 28].

**Theorem 2.** *Let  $(M, g_0, \omega_1, \omega_2, \omega_3)$  be a hyperkähler 4-manifold. Let  $r, s$  be real constants with  $r + st > 0$  for any  $t \in (a, b)$  with  $a > 0$ , and set*

$$\tilde{\omega}_1 = (r + st)\omega_1, \quad u = t(r + st)^2.$$

*Then the 3-form (18) defines a torsion-free  $G_2$  structure on  $(a, b) \times \mathbb{R}_{x,y}^2 \times M'$  where  $M'$  is a suitable open subset of  $M$ .*

*Suppose, furthermore, that  $(M, g_0)$  admits an almost-Kähler structure  $(\omega_0, I)$  compatible with the opposite orientation to the one induced by  $(\omega_1, \omega_2, \omega_3)$ . Let  $p, q, r, s$  be real constants satisfying (23) for  $t \in (a, b)$ ,  $a > 0$ , and set*

$$\tilde{\omega}_1 = (p + qt)\omega_0 + (r + st)\omega_1, \quad u = t((r + st)^2 - (p + qt)^2).$$

*Then (18) again defines a torsion-free  $G_2$  structure on a manifold of the form  $(a, b) \times \mathbb{R}_{x,y}^2 \times M'$ .*

**Remark 3.** It suffices to take  $M'$  to be any contractible open subset of  $M$ , in which case the 1-forms  $\xi_P$  and  $\eta_N$  of Theorem 1 can always be defined on  $P = \mathbb{R}_t^+ \times M'$  and  $N = \mathbb{R}_t^+ \times \mathbb{R}_x \times M'$  respectively. However, as we shall see below, we can alternatively keep the 4-manifold  $M$  fixed and think of the 1-forms  $(\xi, \eta)$  in Theorem 1 as connection forms of a principal  $\mathbb{T}^2$  bundle  $W$  over  $M$ . Since

$$(26) \quad (d\xi, d\eta) = (q\omega_0 + s\omega_1, -\omega_2)$$

is the curvature of the principal connection, we obtain *integrality* constraints for the cohomological classes  $[\frac{1}{2\pi}(q\omega_0 + s\omega_1)]$  and  $[\frac{1}{2\pi}\omega_2]$  of  $M$ , in the sense that they must be contained in the image of the universal morphism  $H^2(M, \mathbb{Z}) \rightarrow H^2(M, \mathbb{R})$ .

Note also that in general only two of the four real parameters  $(p, q, r, s)$  are effective in the sense that one can fix two of them, by rescaling  $\varphi$  and  $V$  on  $Y$ . Moreover, if  $q = s = 0$ , (18) corresponds to the product of a Calabi-Yau 6-manifold with  $\mathbb{R}_x$ , while generically the corresponding metric has holonomy equal to  $G_2$  in accordance with Remark 2.

By way of proving Theorem 2, we shall describe the reverse construction in the case  $(p, q, r, s) = (0, 0, 0, 1)$  for simplicity, so that  $u = t^3$ . This is also the case in which the family  $g(t)$  consists of *homothetic* hyperkähler metrics on  $M$ . The resulting metrics with holonomy  $G_2$  were first described in [20] in the context of  $\mathbb{T}^2$  bundles. To duplicate this situation, we assume that the 2-forms  $\frac{1}{2\pi}\omega_1$  and  $\frac{1}{2\pi}\omega_2$  are integral. This is always true locally, though if  $M$  is compact (i.e. a K3 surface or a torus) the assumptions imply that  $(M, J_3)$  is *exceptional* – its Picard number is maximal, see e.g. [9]. On any exceptional K3 surface, Yau's theorem implies the existence of hyperkähler metrics satisfying these integrality assumptions.

Let  $P$  be the total space of the principal  $S^1$  bundle over the hyperkähler 4-manifold  $M$  classified by  $[\frac{1}{2\pi}\omega_1]$ , and  $\xi$  a connection 1-form on  $P$  such that  $d\xi = \omega_1$  in accordance with (26). Attached to  $P$  is also a principal  $\mathbb{C}^*$  bundle over  $M$ , whose total space  $N$  is the real 6-manifold manifold  $N \cong \mathbb{R}_t^+ \times P$ . Pulling back forms to this new total space, we define on  $N$  an almost-Hermitian structure  $(h, \sigma, J)$  by

$$(27) \quad \begin{aligned} \sigma &= t\omega_1 + dt \wedge \xi \\ h &= tg_0 + t^{-3}\xi \otimes \xi + t^3dt \otimes dt \end{aligned}$$

and

$$J\alpha = J_1\alpha, \quad \alpha \in \Lambda^1 M, \quad Jdt = t^{-3}\xi.$$

The 2-form  $\sigma$  is closed, satisfies (3), and it is easy to check that  $J$  is integrable. Thus,  $(h, \sigma, J)$  defines a Kähler structure on  $N$ .

Now let  $U$  be vector field which is  $h$ -dual to  $t^{-3}\xi$  so that  $U$  is tangent to the fibers of  $P$  and  $\xi(U) = 1$ ; it follows that  $U$  is the generator of the natural  $S^1$  action on  $N$ , acting as a rotation on each fibre of  $P$ . Moreover,  $U$  is a Hamiltonian isometry of the Kähler structure (27) and the corresponding momentum map is  $-t$ .

The integrality assumption for  $\omega_2$  implies that there exists a principal  $S^1$  bundle over  $N$ , classified by  $[-\frac{1}{2\pi}\omega_2] \in H^2(N, \mathbb{Z})$ . We denote by  $Y$  the corresponding 7-dimensional total space and take  $\eta$  to be a connection 1-form satisfying (12).

**Corollary 4.** *With the above assumptions, the 3-form*

$$\varphi = t\omega_1 \wedge \eta + dt \wedge \xi \wedge \eta + t^4\omega_3 \wedge dt + t\omega_2 \wedge \xi$$

*defines a torsion-free  $G_2$  structure on  $Y$ . The corresponding 4-form is*

$$*\varphi = \omega_3 \wedge \xi \wedge \eta - t^3\omega_2 \wedge dt \wedge \eta + t^3\omega_1 \wedge dt \wedge \xi + \frac{1}{2}t^4\omega_1 \wedge \omega_1.$$

**Remark 4.** In the above situation, the Kähler structure  $(h, \sigma, J)$  on  $N$  belongs to the classes of metrics recently studied in [4] and [18]. In the terminology of the former,  $(h, J)$  arises from a Hamiltonian 2-form of order 1 with one non-constant eigenvalue (equal to  $t$ ) and one zero constant eigenvalue of multiplicity 2; the structure function  $F(t)$  of  $h$  is  $t^{-1}$ .

Moreover,

$$\sigma = t\omega_1 + dt \wedge \xi = d(t\xi) = d(t^4 Jdt) = dd^c(\frac{1}{5}t^5),$$

using the definition of  $\xi$  after (12), and (26),(27). Not only then is  $f(t) = \frac{1}{2} \log t$  a Ricci potential for  $h$  (see Corollary 2), but  $\frac{2}{5}t^5 = \frac{2}{5}e^{5f}$  acts as a Kähler potential for the same metric.

We now return to the general case of Theorem 2, when  $p$  and  $q$  are not both zero. Now the hyperkähler metrics  $g(t)$  are not homothetic, though for the examples in [5, 7, 28] the family  $g(t)$  is an isotopy (i.e.  $g(t)$  are all isometric to an initial hyperkähler metric, under the flow of a vector field on  $M$ ).

If the cohomology classes of  $\frac{1}{2\pi}(q\omega_0 + s\omega_1)$  and  $\frac{1}{2\pi}\omega_2$  are integral, the  $G_2$  structure is defined on the product of an interval and the principal  $\mathbb{T}^2$  bundle  $W$  over  $M$ , classified by  $[\frac{1}{2\pi}(q\omega_0 + s\omega_1)]$  and  $[-\frac{1}{2\pi}\omega_2]$ . The action of the 2-torus  $\mathbb{T}^2$  is generated by the commuting vector fields  $U = k(\cdot, t^{-2}\xi)$  and  $V = k(\cdot, t^{-2}\eta)$ , which preserve the  $G_2$  structure.

Take  $M = \mathbb{T}^4$  to be the 4-torus with a flat hyperkähler metric. There are three cases according to the signature of the bilinear form  $B$  of (20) restricted to the 2-dimensional subspace generated by (26):

- (i)  $s > q$ , so  $B$  is positive definite and there is a flat metric  $g_0$  on  $\mathbb{T}^4$  with  $d\xi, d\eta \in \Lambda_+^2$ . Thus, there exists a basis  $(e^i)$  of 1-forms on  $\mathbb{T}^4$  such that  $d\xi = \omega_1$  and  $d\eta = -\omega_2$  with

$$\omega_1 = e^{14} + e^{23}, \quad \omega_2 = e^{13} + e^{42}, \quad \omega_3 = -(e^{12} + e^{34}),$$

reflecting the structure of the real Lie algebra  $\mathfrak{h}$  associated to the complex Heisenberg group  $H$ . Then  $W = \Gamma \backslash H$  is the Iwasawa manifold [1, 2].

- (ii)  $s = q$  so that  $d\xi$  is a simple 2-form. In this case, we may assume that  $d\xi = e^{24}$  and either  $d\eta = e^{14} + e^{23}$  or  $d\eta = e^{14}$ . Then  $W$  is a  $\mathbb{T}^2$  bundle over  $\mathbb{T}^4$  corresponding to one of two other nilmanifolds. The simplest holonomy  $G_2$  metric in the first case is obtained by setting  $(p, q, r, s) = (-1, 1, 1, 1)$  so that  $u = 4t^2$ . The resulting metric coincides with that described in Example 2 of [15, §4] (except that  $t^2$  there has now become  $t > 0$ ).
- (iii)  $s < q$  and there is a basis with  $d\xi = e^{14} - e^{23}$  and  $d\eta = e^{14} + e^{23}$ , so  $\langle d\xi, d\eta \rangle = \langle d\tilde{\xi}, d\tilde{\eta} \rangle$  with  $d\tilde{\xi} = e^{14}$  and  $d\tilde{\eta} = e^{23}$ . In this case, we may take  $W$  to be a discrete quotient of  $H_3 \times H_3$ , where  $H_3$  is the real Heisenberg group.

**Example 1.** To make (i) more explicit, we may take coordinates  $\lambda, \mu, \ell, m$  on  $\mathbb{T}^4$  and fibre coordinates  $x, y$  on  $W$  so that

$$e^5 = -\eta = dx - \lambda d\ell + \mu dm, \quad e^6 = \xi = dy - \mu d\ell - \lambda dm$$

are the corresponding connection 1-forms. The resulting  $G_2$  metric

$$k = t^2(d\lambda^2 + d\mu^2 + d\ell^2 + dm^2) + t^{-2}(dx - \lambda d\ell + \mu dm)^2 + t^{-2}(dy - \mu d\ell - \lambda dm)^2 + t^4 dt^2$$

is defined on  $(0, \infty) \times W$ . It is shown in [20] to arise from an  $SO(5)$  invariant  $G_2$  metric on the total space of  $\Lambda_+^2 \rightarrow S^4$  by a contraction of the isometry group.

The explicit form above makes it easy to compute the the Riemann tensor  $R_{ijkl}$  of  $k$ . The package `GRTensor` (available from <http://grtensor.phy.queensu.ca>) was in fact used to verify that (a) the Ricci tensor  $R^i_{jil}$  is zero, (b) the matrix  $R^i_{jkl}$  with rows labelled by  $i$  has rank 7 everywhere, and (c) the matrix  $R^{ij}_{kl}$  with rows labelled by  $(i, j)$  has rank 14 everywhere. Point (b) confirms that  $k$  is irreducible and therefore has holonomy *equal* to  $G_2$ , which is consistent with (c). The same technique can be used to analyse metrics arising from (ii) and (iii).

#### 4. HYPERSURFACE STRUCTURES

We shall now investigate the metrics with holonomy  $G_2$  constructed in Theorem 2 by restricting them to hypersurfaces on which  $t = \|\eta\|_k$  is constant *before* taking an  $S^1$  quotient. These hypersurfaces correspond to the total spaces  $W$  of the  $\mathbb{T}^2$  bundles of Example 1.

We can write the 3-form (18) as

$$\varphi = (\tilde{\omega}_1 + dt \wedge \xi) \wedge \eta + t(u\omega_3 \wedge dt + \omega_2 \wedge \xi)$$

where  $\xi, \eta$  are the corresponding connection 1-forms on  $N, Y$  respectively. Since  $\|dt\|_h^2 = u^{-1}$ , it follows from (2) that  $\|dt\|_k^2 = z^{-1}$  where  $z = ut$ , so

$$(28) \quad z = t^2 \left( (r + st)^2 - (p + qt)^2 \right)$$

The 1-form  $z^{1/2}dt$  therefore has unit norm relative to the  $G_2$  metric  $k$ , and we may write

$$(29) \quad z^{1/2}dt = d\tau$$

where

$$\tau = \int t \left( (r + st)^2 - (p + qt)^2 \right)^{1/2} dt.$$

The important point here is that  $u$  is constant as a function on  $M$ , and so  $z$  is really just a function of  $t$ .

As a consequence,

$$(30) \quad \begin{aligned} \varphi &= \rho \wedge d\tau + \phi^+ \\ * \varphi &= \phi^- \wedge d\tau + \frac{1}{2} \rho \wedge \rho, \end{aligned}$$

where

$$(31) \quad \begin{aligned} \rho &= z^{1/2} \omega_3 + z^{-1/2} \xi \wedge \eta, \\ \phi^+ &= \tilde{\omega}_1 \wedge \eta + t \omega_2 \wedge \xi, \\ \phi^- &= t^{-1} z^{1/2} \omega_2 \wedge \eta - t^2 z^{-1/2} \tilde{\omega}_1 \wedge \xi. \end{aligned}$$

Restricting to an interval where the function  $t \mapsto \tau$  is bijective, let  $Y_\tau$  denote the hypersurface of  $Y$  for which  $\tau$  has the constant value ‘ $\tau$ ’ (excusing the abuse of

notation). Whereas  $\sigma, \psi^+, \psi^-$  characterize the  $SU(3)$  structure on  $N$ , we are using  $\rho, \phi^+, \phi^-$  (a lexicographic shift) for the corresponding objects on  $Y_\tau$ .

The closure of the forms (30) is equivalent to asserting that

$$(32) \quad d\phi^+ = 0, \quad d(\rho^2) = 0,$$

( $\rho^2$  denotes  $\rho \wedge \rho$ ) for every fixed value of  $\tau$ , and that the  $SU(3)$  structures on  $Y_\tau$  satisfy the equations

$$(33) \quad \frac{\partial \phi^+}{\partial \tau} = d\rho, \quad \frac{\partial}{\partial \tau}(\tfrac{1}{2}\rho^2) = -d\phi^-.$$

An  $SU(3)$  structure satisfying (32) is called *half-integrable* or *half-flat* in the formalism of [15].

To verify (33) directly in our situation, first observe that

$$d(\xi \wedge \eta) = (q\omega_0 + s\omega_1) \wedge \eta + \xi \wedge \omega_2$$

(recall (26)), and

$$(34) \quad \begin{aligned} \phi^+ &= (p\omega_0 + r\omega_1) \wedge \eta + td(\xi \wedge \eta) \\ \tfrac{1}{2}\rho^2 &= \xi \wedge \eta \wedge \omega_3 + \tfrac{1}{2}z\omega_3 \wedge \omega_3. \end{aligned}$$

These equations explain the significance of the coordinates  $(t, z)$  – the above forms are linear in  $t$  and  $z$  respectively. The reader may now check that (33) implies both (28) and (29); a constant of integration may be absorbed into the term  $r^2 - p^2$ .

Hitchin discovered that (33) leads to a Hamiltonian system in the symplectic vector space  $\mathbf{V} \times \mathbf{V}^*$  where  $\mathbf{V}$  is the space of exact 3-forms on the compact manifold  $Y_\tau$ , whose dual  $\mathbf{V}^*$  can be identified with the space of exact 4-forms. The Hamiltonian function  $H$  is derived from integrating volume forms determined algebraically by  $\phi^+$  and  $\rho^2$ , and this also enables  $\phi^-$  to be determined from  $\phi^+$  in (31). Elements of  $\mathbf{V}$  represent deformations of  $\phi^+$  in a fixed cohomology class, and those of  $\mathbf{V}^*$  deformations of  $\rho^2$ . Given a solution of (32) for  $\tau = a$ , a solution of (33) can then be found on some interval  $(a, b)$  [21]. This approach also underlies some of the newly constructed metrics (such as [12]) with reduced holonomy.

The function  $H$  is already implicit in our calculations above, which may be summarized in the following way:

**Proposition 2.** *The solution (31) can be expressed in the form  $H = 0$  where the function  $H = 2t\left((r + st)^2 - (p + qt)^2\right)^{1/2} - 2z^{1/2}$  satisfies*

$$\frac{dt}{d\tau} = -\frac{\partial H}{\partial z}, \quad \frac{dz}{d\tau} = \frac{\partial H}{\partial t}.$$

It is an important consequence of the Kähler assumption that, for each choice  $(p, q, r, s) \in \mathbb{R}^4$ , there is only one valid solution curve in the  $(t, z)$  plane.

**Example 2.** In the light of Proposition 2, a variant of (31) and Example 1 is provided by setting

$$\begin{aligned} \rho &= \pm z^{1/2}(e^{12} + e^{34}) + z^{-1/2}e^{56}, \\ \phi^+ &= \phi_0^+ + td(e^{56}), \\ \phi^- &= \phi_0^- \pm t(e^5 \wedge de^5 + e^6 \wedge de^6), \end{aligned}$$

with

$$(35) \quad z = (t^2 - \tfrac{1}{2}H)^2.$$

We assume that  $z^{1/2} > 0$ , so that the orientation  $\rho^3$  remains fixed. The notation is consistent with that of [1], with  $de^5 = e^{13} + e^{42}$  and  $de^6 = e^{14} + e^{23}$ . Any value of the constant  $H$  gives a valid solution and so a holonomy  $G_2$  metric on the product  $(a, b) \times W$  of some interval with the Iwasawa manifold  $W$ , though  $\phi_0^\pm$  and the signs must be chosen to ensure compatibility (essentially (7)) and positive definiteness of the resulting metric.

The Figure plots the quartic curves (35) in the  $(t, z)$  plane for various values of  $H$ ; two pass through each point because of the sign ambiguity implicit in the definition of  $H$ .

- (i)  $H = 2$  gives  $z = (1 - t^2)^2$ , including the bell-shaped segment. To satisfy (33) for  $|t| < 1$  we need to choose the plus sign in  $\phi^-$ . We may then define the 3-forms  $\phi_0^\pm$  by setting

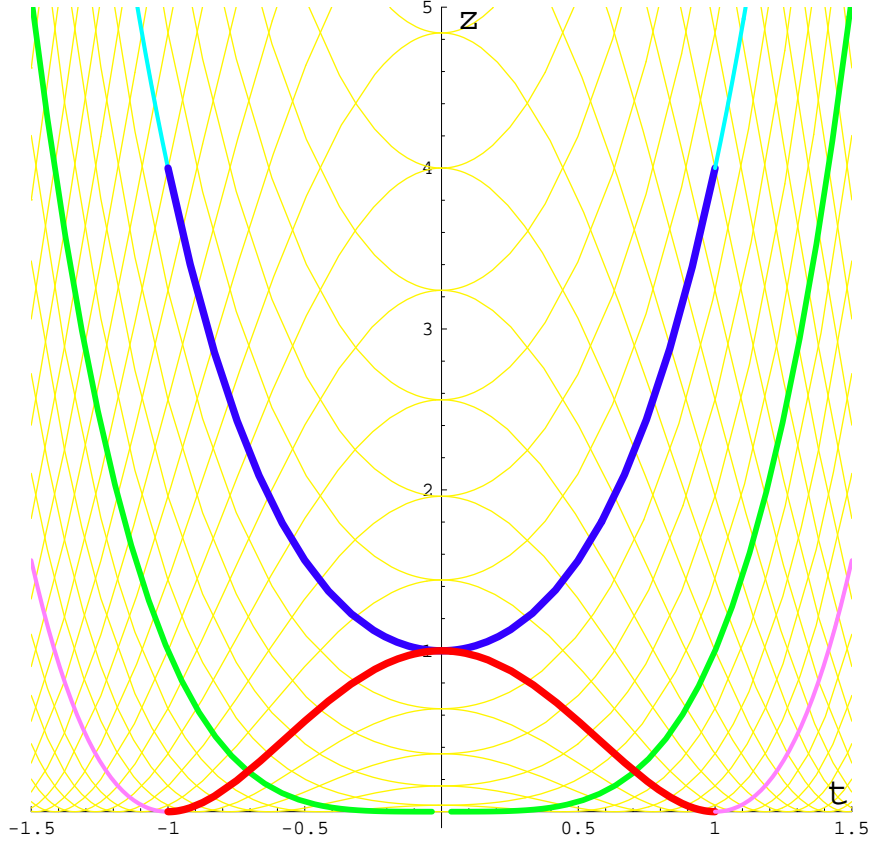
$$\phi^+ + i\phi^- = i((e^5 + ie^6) + t(e^5 - ie^6)) \wedge d(e^5 + ie^6).$$

It follows that  $\phi_0^+ + i\phi_0^-$  is a form of type  $(3, 0)$  relative to the standard complex structure on  $W$ , and taking  $z = 1$  and the plus sign in  $\rho$  determines a compatible Hermitian metric via (3). As one flows away from the point  $(0, 1)$ , the almost-complex structure induced on the hypersurface becomes non-integrable;  $\rho, \phi^\pm$  degenerate simultaneously when one reaches  $t = \pm 1$ . Furthermore, we may take  $\tau = \frac{1}{3}t^3 + t$ , a cubic equation with solution

$$t = \alpha^{1/3} - \alpha^{-1/3}, \quad 2\alpha = 3\tau + (9\tau^2 - 4)^{1/2},$$

in contrast with the Kähler scheme in which  $\tau = c + \frac{1}{2}rt^2 + \frac{1}{3}st^3$  in the case  $p = q = 0$ .





Figure

- (ii)  $H = -2$  gives  $z = (t^2 + 1)^2$  (the curve above and touching the bell). This requires the minus sign in  $\phi^-$  and provides a different deformation of the standard Hermitian structure on  $W$ . Indeed,  $\phi_0^+ + i\phi_0^-$  is modified by the addition of a form of type  $(1, 2)$  rather than  $(2, 1)$ , and the resulting almost-complex structure is undefined when  $|t|$  reaches 1. However,  $\rho$  remains non-degenerate for all  $t$ , and this corresponds to a different singular behaviour of the  $G_2$  metric.
- (iii)  $H = 0$  and  $z = t^4$  (with the flattened base) requires  $\phi_0^\pm = 0$  and the minus signs in  $\phi^-, \rho$ , reproducing exactly the solution of Example 1. The almost-complex structure induced on  $W$  by  $\phi^+$  is *constant* and was first singled out for study in [2], where it is called  $J_3$ . The 2-form  $\rho$  degenerates only for  $t = 0$ , and the resulting metric has the advantage of being ‘half-complete’.

We conclude this section by providing an explanation of why the system (33) often reduces to one variable. Let  $\phi^+$  be an invariant closed 3-form with stabilizer  $SL(3, \mathbb{C})$  on either of the four nilmanifolds  $\Gamma \backslash H$  described just before Example 1. Let  $\phi^-$  be the unique 3-form for which  $\phi^+ + i\phi^-$  has type  $(3, 0)$  relative to the almost-complex structure  $J$  determined by  $\phi^+$ . Let  $\ker d$  denote the space of invariant closed 1-forms (equivalently, the kernel of the natural mapping  $d : \mathfrak{h}^* \rightarrow \bigwedge^2 \mathfrak{h}^*$ ).

**Lemma 2.** *In the above situation,  $d\psi_+ = 0$  implies that  $J(\ker d) = \ker d$ .*

This can be proved by generalizing an argument in the proof of [26, Theorem 1.1], which draws the same conclusion if  $J$  is integrable. In view of the structure equations for the Lie algebra  $\mathfrak{h}$ , the condition that  $J$  leave  $\ker d$  invariant is equivalent to asserting that  $d\phi^-$  belong to the 1-dimensional space

$$\langle e^{1234} \rangle = \bigwedge^4(\ker d),$$

but it is this fact that simplifies the equations.

## 5. SOLUTIONS VARYING ON $M$

As another ramification of the evolution equations for  $(\tilde{\omega}_1 = \tilde{\omega}_1(t), u = u(t))$ , we consider the case when  $(M, g_0, \omega_1, \omega_2, \omega_3)$  is a hyperkähler manifold, and

$$\begin{aligned} \tilde{\omega}_1 &= \omega_1 - \frac{1}{2}d_M d_M^c G, \\ 2u &= G'' \end{aligned}$$

for a smooth function  $G$  on  $(a, b) \times M$ , where  $'$  continues to denote  $\partial/\partial t$ . We assume here that  $\tilde{\omega}_1$  is a positive definite (1,1)-form on  $(M, J_1)$  for each fixed  $t$  in the interval  $(a, b)$ . Whilst (16) is automatically satisfied, (17) becomes

$$(36) \quad 2t\mathcal{M}(G) = G''$$

where  $\mathcal{M}$  denotes the complex Monge-Ampère operator on  $(M, g_0, J_1)$ , defined by

$$\left( \omega_1 - \frac{1}{2}d_M d_M^c f \right)^{\wedge 2} = \mathcal{M}(f)\omega_1 \wedge \omega_1,$$

for all  $f \in C^\infty(M)$ . Note that the 1-form  $\xi_P$  of Theorem 1 is automatically defined on  $P = \mathbb{R}_t^+ \times M$  by

$$(37) \quad \xi_P = -\frac{1}{2}d_M^c(G').$$

Since the hyperkähler metric  $g_0$  is Ricci-flat and the  $\omega_i$ 's are parallel 2-forms, there exists a real-analytic structure on  $M$ , compatible with  $(g_0, J_1, \omega_1, \omega_2, \omega_3)$ . Thus, applying the Cauchy-Kowalewski theorem, one obtains a general existence result.

**Corollary 5.** *Let  $(M, g_0, \omega_1, \omega_2, \omega_3)$  be a hyperkähler, real-analytic 4-manifold and  $J_1$  be the Kähler structure compatible with  $\omega_1$ . Suppose that  $G^0, G^1$  are real-analytic functions on  $M$  with  $\omega_1 - \frac{1}{2}d_M d_M^c G^0$  positive definite with respect to  $J_1$ . Then there exist a real number  $a > 0$  and a real-analytic solution  $G(t, \cdot)$  of (36) defined on  $(0, a) \times M$  with  $G(0) = G^0$ ,  $G'(0) = G^1$ , and such that  $\omega_1 - \frac{1}{2}d_M d_M^c G$  is positive definite for any  $t \in (0, a)$ . Thus,  $\tilde{\omega}_1 = \omega_1 - \frac{1}{2}d_M d_M^c G$  and  $u = \frac{1}{2}G''$  define, via Theorem 1, a torsion-free  $G_2$  structure on a manifold of the form  $(0, a) \times \mathbb{R}_{x,y}^2 \times M'$  where  $M'$  is a suitable open subset of  $M$ .*

In the above construction  $M'$  should be taken so as to solve  $d\eta_N = -\omega_2$  for a 1-form  $\eta_N$  on  $N = \mathbb{R}_t^+ \times \mathbb{R}_x \times M'$  (see Theorem 1 and (37)). Alternatively, we may assume that the cohomology class  $[\frac{1}{2\pi}\omega_2]$  of  $M$  is integral and  $\eta$  is a principal connection of the principal  $S^1$  bundle  $Q$  over  $M$ , classified by  $[-\frac{1}{2\pi}\omega_2]$ . In this case Corollary 5 produces examples of torsion-free  $G_2$  structures with a  $\mathbb{R} \times S^1$  symmetry on  $Y = (0, a) \times \mathbb{R}_x \times Q$ . One has no control over the real number  $a$ .

It is tempting to spot some special solutions to (36), by reducing the problem to a linear (elliptic) equation. This can be done by assuming that for each  $t > 0$  the function  $G$  generates a complex *Monge-Ampère foliation* on  $(M, J_1)$  (see e.g. [10]), meaning that

$$d_M d_M^c G \wedge d_M d_M^c G = 0$$

and  $d_M d_M^c G$  has constant rank. (The integral curves of  $d_M d_M^c G$  then foliate  $M$  by complex submanifolds.) The point is that in this case we have

$$\mathcal{M}(G) = 1 + \frac{1}{2} \Delta G,$$

where  $\Delta$  is the Riemannian Laplacian of the hyperkähler metric  $g_0$ .

The above situation appears in particular when  $(M, J_1)$  admits a holomorphic  $\mathbb{C}$  action and we look for equivariant solutions of (36), or when  $(M, J_1)$  admits a holomorphic fibration  $p : M \rightarrow C$  over a complex curve  $C$  and we look for solutions of the form  $G \circ p$  where for each  $t$ ,  $G$  is a function on  $C$ .

Consider finally the flat hyperkähler metric  $g_0$  on  $(M, J_1) = \mathbb{C}^2 \cong \mathbb{R}^4$ , determined by the 2-forms

$$\omega_1 = \frac{1}{2}i(dz_1 \wedge d\bar{z}_1 + dz_2 \wedge d\bar{z}_2), \quad \omega_2 = \Re(dz_1 \wedge dz_2), \quad \omega_3 = \Im(dz_1 \wedge dz_2),$$

where  $z_1 = \lambda + i\mu$  and  $z_2 = \ell + im$  are the canonical coordinates of  $\mathbb{C}^2$ . Letting  $G(t, z_1)$  be a function on  $\mathbb{C}^2$  which does not depend on  $z_2$ , the equation (36) reduces to

$$G'' + t \left( \frac{\partial^2 G}{\partial \lambda^2} + \frac{\partial^2 G}{\partial \mu^2} \right) = 2t.$$

Separable solutions are given by  $G(t, \lambda, \mu) = \frac{1}{3}t^3 + H(\lambda, \mu)K(t)$ , where

$$\frac{\partial^2 H}{\partial \lambda^2} + \frac{\partial^2 H}{\partial \mu^2} + cH = 0,$$

$c$  is a constant and  $K$  a solution of the Airy equation  $K'' = ctK$  (equivalently,  $L = K^{-1}K'$  satisfies the Riccati equation  $L' + L^2 = ct$ ).

**Example 3.** Taking  $H$  to be periodic in  $\lambda, \mu$  (which requires  $c > 0$ ) yields solutions of (36) defined on  $(0, a) \times \mathbb{T}^4$ . One such example is obtained by taking  $H = \sin \lambda$  (so  $c = 1$ ), and

$$K = \text{Ai}(t) = \frac{1}{3}t^{1/2}(J_{1/3}(\zeta) + J_{-1/3}(\zeta)), \quad \zeta = \frac{2}{3}it^{3/2}.$$

Setting  $f = 1 + \text{Ai}(t) \sin \lambda$ , the resulting  $G_2$  metric

$$t(f d\lambda^2 + f d\mu^2 + d\ell^2 + dm^2) + f^{-1}(dx - \text{Ai}'(t) \cos \lambda d\mu)^2 + t^{-2}(dy - \lambda d\ell + \mu dm)^2 + t^2 f dt^2$$

is Ricci-flat and irreducible. Since  $\text{Ai}(t) \rightarrow 0$  as  $t \rightarrow \pm\infty$ , the above metric is asymptotic to a constant solution with  $u = t$  and holonomy equal to  $SU(3)$  (see Remark 3). However, the above construction can be easily modified to provide explicit deformations of the non-trivial metrics constructed in §3.

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